

**Fill in this information to identify your case:**

United States Bankruptcy Court for the:

DISTRICT OF DELAWARE

Case number (if known) \_\_\_\_\_ Chapter 11

Check if this an amended filing

Official Form 201

**Voluntary Petition for Non-Individuals Filing for Bankruptcy**

4/19

If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known). For more information, a separate document, *Instructions for Bankruptcy Forms for Non-Individuals*, is available.

1. Debtor's name NovaSom, Inc.

2. All other names debtor used in the last 8 years  
Include any assumed names, trade names and doing business as names

3. Debtor's federal Employer Identification Number (EIN) 77-0328116

4. Debtor's address	Principal place of business	Mailing address, if different from principal place of business
	<u>801 Cromwell Park Drive</u>	
	<u>Glen Burnie, MD 21061</u>	
	Number, Street, City, State & ZIP Code	P.O. Box, Number, Street, City, State & ZIP Code
	<u>Anne Arundel</u>	<b>Location of principal assets, if different from principal place of business</b>
	County	
		Number, Street, City, State & ZIP Code

5. Debtor's website (URL) novasom.com

6. Type of debtor

Corporation (including Limited Liability Company (LLC) and Limited Liability Partnership (LLP))

Partnership (excluding LLP)

Other. Specify: \_\_\_\_\_

Debtor **NovaSom, Inc.**  
Name

Case number (if known)

**7. Describe debtor's business**

A. Check one:

- Health Care Business (as defined in 11 U.S.C. § 101(27A))
- Single Asset Real Estate (as defined in 11 U.S.C. § 101(51B))
- Railroad (as defined in 11 U.S.C. § 101(44))
- Stockbroker (as defined in 11 U.S.C. § 101(53A))
- Commodity Broker (as defined in 11 U.S.C. § 101(6))
- Clearing Bank (as defined in 11 U.S.C. § 781(3))
- None of the above

B. Check all that apply

- Tax-exempt entity (as described in 26 U.S.C. §501)
- Investment company, including hedge fund or pooled investment vehicle (as defined in 15 U.S.C. §80a-3)
- Investment advisor (as defined in 15 U.S.C. §80b-2(a)(11))

C. NAICS (North American Industry Classification System) 4-digit code that best describes debtor.  
See <http://www.uscourts.gov/four-digit-national-association-naics-codes>.

**8. Under which chapter of the Bankruptcy Code is the debtor filing?**

Check one:

- Chapter 7
- Chapter 9

Chapter 11. Check all that apply:

- Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,725,625 (amount subject to adjustment on 4/01/22 and every 3 years after that).
- The debtor is a small business debtor as defined in 11 U.S.C. § 101(51D). If the debtor is a small business debtor, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return or if all of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
- A plan is being filed with this petition.
- Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).
- The debtor is required to file periodic reports (for example, 10K and 10Q) with the Securities and Exchange Commission according to § 13 or 15(d) of the Securities Exchange Act of 1934. File the attachment to Voluntary Petition for Non-Individuals Filing for Bankruptcy under Chapter 11 (Official Form 201A) with this form.
- The debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2.

Chapter 12

**9. Were prior bankruptcy cases filed by or against the debtor within the last 8 years?**

- No.
- Yes.

If more than 2 cases, attach a separate list.

District \_\_\_\_\_ When \_\_\_\_\_ Case number \_\_\_\_\_  
District \_\_\_\_\_ When \_\_\_\_\_ Case number \_\_\_\_\_

**10. Are any bankruptcy cases pending or being filed by a business partner or an affiliate of the debtor?**

- No.
- Yes.

List all cases. If more than 1, attach a separate list

Debtor \_\_\_\_\_ Relationship \_\_\_\_\_  
District \_\_\_\_\_ When \_\_\_\_\_ Case number, if known \_\_\_\_\_

Debtor **NovaSom, Inc.**  
Name

Case number (if known)

**11. Why is the case filed in this district?**

Check all that apply:

- Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other district.
- A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district.

**12. Does the debtor own or have possession of any real property or personal property that needs immediate attention?**

- No
- Yes. Answer below for each property that needs immediate attention. Attach additional sheets if needed.

**Why does the property need immediate attention? (Check all that apply.)**

- It poses or is alleged to pose a threat of imminent and identifiable hazard to public health or safety.  
What is the hazard? \_\_\_\_\_
- It needs to be physically secured or protected from the weather.
- It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dairy, produce, or securities-related assets or other options).
- Other \_\_\_\_\_

**Where is the property?**

Number, Street, City, State & ZIP Code

**Is the property insured?**

- No
- Yes. Insurance agency \_\_\_\_\_

Contact name \_\_\_\_\_

Phone \_\_\_\_\_

**Statistical and administrative information**

**13. Debtor's estimation of available funds**

Check one:

- Funds will be available for distribution to unsecured creditors.
- After any administrative expenses are paid, no funds will be available to unsecured creditors.

**14. Estimated number of creditors**

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> 1-49               | <input type="checkbox"/> 1,000-5,000   | <input type="checkbox"/> 25,001-50,000     |
| <input type="checkbox"/> 50-99              | <input type="checkbox"/> 5001-10,000   | <input type="checkbox"/> 50,001-100,000    |
| <input checked="" type="checkbox"/> 100-199 | <input type="checkbox"/> 10,001-25,000 | <input type="checkbox"/> More than 100,000 |
| <input type="checkbox"/> 200-999            |  |  |

**15. Estimated Assets**

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> \$0 - \$50,000          | <input checked="" type="checkbox"/> \$1,000,001 - \$10 million | <input type="checkbox"/> \$500,000,001 - \$1 billion     |
| <input type="checkbox"/> \$50,001 - \$100,000    | <input type="checkbox"/> \$10,000,001 - \$50 million           | <input type="checkbox"/> \$1,000,000,001 - \$10 billion  |
| <input type="checkbox"/> \$100,001 - \$500,000   | <input type="checkbox"/> \$50,000,001 - \$100 million          | <input type="checkbox"/> \$10,000,000,001 - \$50 billion |
| <input type="checkbox"/> \$500,001 - \$1 million | <input type="checkbox"/> \$100,000,001 - \$500 million         | <input type="checkbox"/> More than \$50 billion          |

**16. Estimated liabilities**

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> \$0 - \$50,000          | <input type="checkbox"/> \$1,000,001 - \$10 million             | <input type="checkbox"/> \$500,000,001 - \$1 billion     |
| <input type="checkbox"/> \$50,001 - \$100,000    | <input checked="" type="checkbox"/> \$10,000,001 - \$50 million | <input type="checkbox"/> \$1,000,000,001 - \$10 billion  |
| <input type="checkbox"/> \$100,001 - \$500,000   | <input type="checkbox"/> \$50,000,001 - \$100 million           | <input type="checkbox"/> \$10,000,000,001 - \$50 billion |
| <input type="checkbox"/> \$500,001 - \$1 million | <input type="checkbox"/> \$100,000,001 - \$500 million          | <input type="checkbox"/> More than \$50 billion          |

Debtor NovaSom, Inc.  
Name

Case number (if known) \_\_\_\_\_

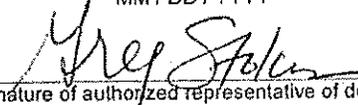
**Request for Relief, Declaration, and Signatures**

**WARNING --** Bankruptcy fraud is a serious crime. Making a false statement in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

**17. Declaration and signature of authorized representative of debtor**

The debtor requests relief in accordance with the chapter of title 11, United States Code, specified in this petition.  
I have been authorized to file this petition on behalf of the debtor.  
I have examined the information in this petition and have a reasonable belief that the information is true and correct.  
I declare under penalty of perjury that the foregoing is true and correct.

Executed on August 2, 2019  
MM/DD/YYYY

X   
Signature of authorized representative of debtor

Gregory J. Stokes  
Printed name

Title President & CEO

**18. Signature of attorney**

X /s/ Peter C. Hughes  
Signature of attorney for debtor

Date August 2, 2019  
MM/DD/YYYY

Peter C. Hughes, Esquire  
Printed name

CO-COUNSEL: Jeffrey Kurtzman, Esquire

DILWORTH PAXSON LLP  
Firm name

KURTZMAN | STEADY, LLC

One Customs House, Suite 500  
704 N. Kings Street, Suite 500  
Wilmington, DE 19899-1031  
Number, Street, City, State & ZIP Code

401 S. 2nd Street, Suite 200  
Philadelphia, PA 19147  
kurtzman@kurtzmansteady.com (215) 883-1600

Contact phone (302) 571-9800

Email address phughes@dilworthlaw.com

DE 4180  
Bar number and State

Fill in this information to identify the case:

Debtor name NovaSom, Inc.  
United States Bankruptcy Court for the: DISTRICT OF DELAWARE  
Case number (if known) \_\_\_\_\_

Check if this is an amended filing

Official Form 202

**Declaration Under Penalty of Perjury for Non-Individual Debtors**

12/15

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

**WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.**

**Declaration and signature**

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

- Schedule A/B: Assets—Real and Personal Property (Official Form 206A/B)
- Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D)
- Schedule E/F: Creditors Who Have Unsecured Claims (Official Form 206E/F)
- Schedule G: Executory Contracts and Unexpired Leases (Official Form 206G)
- Schedule H: Codebtors (Official Form 206H)
- Summary of Assets and Liabilities for Non-Individuals (Official Form 206Sum)
- Amended Schedule \_\_\_\_\_
- Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders (Official Form 204)
- Other document that requires a declaration \_\_\_\_\_

I declare under penalty of perjury that the foregoing is true and correct.

Executed on August 2, 2019

x   
Signature of individual signing on behalf of debtor

Gregory J. Stokes  
Printed name

President & CEO  
Position or relationship to debtor



B2030 (Form 2030) (12/15)

**United States Bankruptcy Court  
District of Delaware**

In re **NovaSom, Inc.** Debtor(s)

Case No. \_\_\_\_\_  
Chapter **11**

**DISCLOSURE OF COMPENSATION OF ATTORNEY FOR DEBTOR(S)**

1. Pursuant to 11 U.S.C. § 329(a) and Fed. Bankr. P. 2016(b), I certify that I am the attorney for the above named debtor(s) and that compensation paid to me within one year before the filing of the petition in bankruptcy, or agreed to be paid to me, for services rendered or to be rendered on behalf of the debtor(s) in contemplation of or in connection with the bankruptcy case is as follows:

For legal services, I have agreed to accept .....	\$	<u><b>22,711.50</b></u>
Prior to the filing of this statement I have received .....	\$	<u><b>22,711.50</b></u>
Balance Due .....	\$	<u><b>0.00</b></u>

2. The source of the compensation paid to me was:

Debtor       Other (specify):

3. The source of compensation to be paid to me is:

Debtor       Other (specify):

4.  I have not agreed to share the above-disclosed compensation with any other person unless they are members and associates of my law firm.

I have agreed to share the above-disclosed compensation with a person or persons who are not members or associates of my law firm. A copy of the agreement, together with a list of the names of the people sharing in the compensation is attached.

5. In return for the above-disclosed fee, I have agreed to render legal service for all aspects of the bankruptcy case, including:

- a. Analysis of the debtor's financial situation, and rendering advice to the debtor in determining whether to file a petition in bankruptcy;
- b. Preparation and filing of any petition, schedules, statement of affairs and plan which may be required;
- c. Representation of the debtor at the meeting of creditors and confirmation hearing, and any adjourned hearings thereof;
- d. Representation of the debtor in adversary proceedings and other contested bankruptcy matters;
- e. [Other provisions as needed]

6. By agreement with the debtor(s), the above-disclosed fee does not include the following service:

**CERTIFICATION**

I certify that the foregoing is a complete statement of any agreement or arrangement for payment to me for representation of the debtor(s) in this bankruptcy proceeding.

**August 2, 2019**  
*Date*

/s/ Jeffrey Kurtzman  
**Jeffrey Kurtzman Esquire**  
*Signature of Attorney*  
**KURTZMAN | STEADY, LLC**  
**401 S. 2nd Street**  
**Philadelphia, PA 19147**  
**(215) 883-1600 Fax: (609) 482-8011**  
**kurtzman@kurtzmansteady.com**  
*Name of law firm*

**Fill in this information to identify the case:**

Debtor name	<b>NovaSom, Inc.</b>
United States Bankruptcy Court for the:	<b>DISTRICT OF DELAWARE</b>
Case number (if known):	_____

Check if this is an amended filing

**Official Form 204****Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders**

12/15

A list of creditors holding the 20 largest unsecured claims must be filed in a Chapter 11 or Chapter 9 case. Include claims which the debtor disputes. Do not include claims by any person or entity who is an insider, as defined in 11 U.S.C. § 101(31). Also, do not include claims by secured creditors, unless the unsecured claim resulting from inadequate collateral value places the creditor among the holders of the 20 largest unsecured claims.

Name of creditor and complete mailing address, including zip code	Name, telephone number and email address of creditor contact	Nature of claim (for example, trade debts, bank loans, professional services, and government contracts)	Indicate if claim is contingent, unliquidated, or disputed	Amount of claim		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
AdvantEdge Healthcare Sol., Inc. c/o AHS Services Inc. PO Box 638564 Cincinnati, OH 45263-8564		Consultant				\$314,744.93
Austria-Rea Enterprises, LLC 850 Calle Plano Suite F Camarillo, CA 93012		Supplier				\$128,392.50
CR Group LP 1000 Main St. Suite 2500 Houston, TX 77002		Investment Group				\$150,000.00
eFax Corporate c/o J2 Global Comm., Inc PO BOX 51873 Los Angeles, CA 90051		Utilities				\$19,212.08
Expedient/Continental Broadband PO Box 645209 Pittsburgh, PA 15264-5209		Vendor				\$34,410.15
Fogarty Engineering, Inc. 3270 Alpine Road Portola Valley, CA 94028		Royalties				\$374,890.00
Infosys Technologies, Ltd 3998 Collections Center Drive Chicago, IL 60693		Consultant				\$34,073.60

Debtor **NovaSom, Inc.**  
Name \_\_\_\_\_

Case number (if known) \_\_\_\_\_

Name of creditor and complete mailing address, including zip code	Name, telephone number and email address of creditor contact	Nature of claim (for example, trade debts, bank loans, professional services,	Indicate if claim is contingent, unliquidated, or disputed	Amount of claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
Mediaid, Inc 17517 Fabrica Way Suite E Cerritos, CA 90703		Supplier				\$131,400.00
Minuteman Press Inc. 100 Roesler Rd Suite 101 Glen Burnie, MD 21060		Vendor				\$21,281.56
Morgan Lewis & Bockius 1701 Market Street Philadelphia, PA 19103-2921		Legal services				\$35,508.27
NextGen Healthcare, Inc 18111 Von Karman Avenue Suite 800 Irvine, CA 92612		Consultant				\$34,234.55
Parker Hannifin Corporation 7925 Collection Center Drive Chicago, IL 60693		Supplier				\$40,560.50
RSM US LLP 5155 Paysphere Circle Chicago, IL 60674		Consultant				\$41,035.55
Salesforce.com, Inc. The Landmark at One Market Street # 300 San Francisco, CA 94105		Consultant				\$120,100.66
St. John Properties, Inc. St. John Properties, Inc - D PO BOX 62696 Baltimore, MD 21264		Rent				\$32,387.51
UPS PO BOX 7247-0244 Philadelphia, PA 19170-0001		Freight				\$353,068.43
UrgentCare Mentor, LLC 155 Waterford Circle Rancho Mirage, CA 92270		Consultant				\$92,000.00

Debtor **NovaSom, Inc.**  
Name \_\_\_\_\_

Case number (if known) \_\_\_\_\_

Name of creditor and complete mailing address, including zip code	Name, telephone number and email address of creditor contact	Nature of claim (for example, trade debts, bank loans, professional services,	Indicate if claim is contingent, unliquidated, or disputed	Amount of claim		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
Vonage Business PO Box 392479 Pittsburgh, PA 15251-9479		Utilities				\$20,669.86
Willard Packaging Company PO BOX 27 Gaithersburg, MD 20884		Vendor				\$26,123.54
Zentech Manufacturing, Inc PO BOX 85079 Chicago, IL 60680		Manufacturer/Service				\$811,357.56

**United States Bankruptcy Court  
District of Delaware**

In re NovaSom, Inc.

Debtor(s)

Case No.

Chapter 11

**LIST OF EQUITY SECURITY HOLDERS**

Following is the list of the Debtor's equity security holders which is prepared in accordance with rule 1007(a)(3) for filing in this Chapter 11 Case

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
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**SEE ATTACHED SCHEDULE**

**DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP**

I, the **President & CEO** of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing List of Equity Security Holders and that it is true and correct to the best of my information and belief.

Date August 2, 2019

Signature

  
Gregory J. Stokes

*Penalty for making a false statement of concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 and 3571.*







**United States Bankruptcy Court  
District of Delaware**

In re NovaSom, Inc.

Debtor(s)

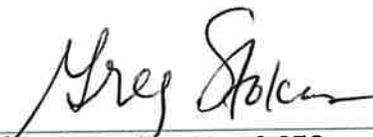
Case No.  
Chapter

11

**VERIFICATION OF CREDITOR MATRIX**

I, the President & CEO of the corporation named as the debtor in this case, hereby verify that the attached list of creditors is true and correct to the best of my knowledge.

Date: August 2, 2019

  
\_\_\_\_\_  
Gregory J. Stokes/President & CEO  
Signer/Title

NOVASOM, INC.  
801 CROMWELL PARK DRIVE  
GLEN BURNIE, MD 21061

AMBERBROOK V LLC  
C/O WILLOWRIDGE PARTN  
ATTN: JERRY NEWMAN  
122 EAST 42ND STREET  
NEW YORK, NY 10017

ASSURANT LIFE INS COMPANY  
PO BOX 957494  
ST. LOUIS, MO 63195-7494

JEFFREY KURTZMAN  
KURTZMAN | STEADY, LLC  
401 S. 2ND STREET  
PHILADELPHIA, PA 19147

AMERICAN EXPRESS  
P.O. BOX 1270  
NEWARK, NJ 07101-1270

ATHERTON VENTURE FUND I, LL  
ATTN: DAVID M. NEUBAUER  
3501 SEALEDGE LANE  
SANTA BARBARA, CA 93109

1998 JAN P. BARKER  
REVOCABLE LIVING TRUST  
26 WILDWOOD DRIVE  
SAN MATEO, CA 94402

AMUR EQUIPMENT FINANCE, INC.  
801 CROMWELL PARK DRIVE  
SUITE 108  
GLEN BURNIE, MD 21061

ATHERTON VENTURE FUND II, L  
ATTN: DAVID M. NEUBAUER  
3501 SEALEDGE LANE  
SANTA BARBARA, CA 93109

ADAM B. HERNANDEZ  
1810 WOODSTOCK ROAD  
WOODSTOCK, MD 21163

ANGELA ARNN  
1701 ROGERS ROAD  
APT. 433  
FORT WORTH, TX 76107

AUSTRIA-REA ENTERPRISES, LLC  
850 CALLE PLANO  
SUITE F  
CAMARILLO, CA 93012

ADT SECURITY SYSTEMS, INC.  
P.O. BOX 371967  
PITTSBURGH, PA 15250

ANNETTE CAMPBELL-WHITE  
12985 SKYLINE BLVD.  
OAKLAND, CA 94619

AUTO QUEST INC.  
6C WORCHESTER DRIVE  
MONROE TOWNSHIP, NJ 08831-493

ADVANCED BUSINESS SYSTEMS  
P.O. BOX 759319  
BALTIMORE, MD 21275

ANTHONY F. MATOUK  
1700 WILLIAM HENRY COURT  
#105  
LOS ALTOS, CA 94022

BANKDIRECT CAPITAL FINANCE  
PO BOX 660448  
DALLAS, TX 75266-0448

ADVANTEDGE HEALTHCARE SOL., INC.  
C/O AHS SERVICES INC.  
PO BOX 638564  
CINCINNATI, OH 45263-8564

APM PROFIT SHARING TRUST  
ATTN: PHILLIP G. LARSON TRUSTEE  
176 ENCINAL AVENUE  
ATHERTON, CA 94027

BB&T COMMERCIAL EQUIPMENTC  
2 GREAT VALLEY PARKWAY  
SUITE 30019355

AHMET KARAKASOGLU  
168 ELY PLACE  
PALO ALTO, CA 94306

ARIZONA DEPT. OF REVENUE  
P.O. BOX 29079  
PHOENIX, AZ 85038-9079

BENETRAC  
LOCKBOX #732954  
PO BOX 732954  
DALLAS, TX 75373-2954

ALABAMA DEPT. OF REVENUE  
BUSINESS PRIVILEGE TAX SECTION  
P.O. BOX 327320  
MONTGOMERY, AL 36132-7320

ARKADIN  
PO BOX 347261  
ATTN: ACCOUNTS RECEIVABLE  
PITTSBURGH, PA 15251-4261

BERT A. KOBAYASHI  
1288 ALA MOANA BLVD., SUITE 2  
HONOLULU, HAWAII 96814-0000

ALANA E.K. PAKKALA  
1288 ALA MOANA BLVD., SUITE 201  
HONOLULU, HAWAII 96814-0000

ASCENTIUM CAPITAL, LLC  
23970 HWY 59 N.  
KINGWOOD, TX 77339

BERT A. KOBAYASHI, JR.  
1288 ALA MOANA BLVD., SUITE 2  
HONOLULU, HAWAII 96814-0000

BETHKE CPA, LLC  
1613 GUSTON CT  
SILVER SPRING, MD 20906

CHRISTOPHER BROWN, M.D.  
2351 CLAY #501  
SAN FRANCISCO, CA 94115

CORPORATION SERVICE COMPA  
PO BOX 13397  
PHILADELPHIA, PA 19101-3397

BLUE BRIDGE FINANCIAL, LLC  
11911 FREEDOM DRIVE  
ONE FOUNTAIN SQUARE  
SUITE 570  
RESTON, VA 20190

CHRISTOPHER T. CAMPOS  
11214 TORRINGTON ROAD  
LOUISVILLE, KY 40272

COVERALL SERVICE COMPANY  
NGT CORP - MARYLAND  
8965 GUILFORD ROAD, SUITE 100  
COLUMBIA, MD 21046

BRYN MAWR EQUIPMENT  
FINANCE, INC.  
801 LANCASTER AVENUE  
BRYN MAWR, PA 19010

CIGNA-LINA  
P.O. BOX 13701  
PHILADELPHIA, PA 19101-3701

CR GROUP LP  
1000 MAIN ST.  
SUITE 2500  
HOUSTON, TX 77002

BYL COLLECTION SERVICES, LLC  
301 LACEY STREET  
WEST CHESTER, PA 19382

CIT BANK, N.A.  
155 COMMERCE WAY  
PORTSMOUTH, NH 03801

CREEKRIDGE FINANCIAL  
7808 CREEKRIDGE CIRCLE  
MINNEAPOLIS, MN 55439

CALIFORNIA DEPT. OF TAX AND FEE  
ADMIN. ACCOUNT INFO. GROUP  
P.O. BOX 942879  
SACRAMENTO, CA 94278

COLORADO DEPT. OF REVENUE  
1375 SHERMAN STREET  
DENVER, CO 80261

CRESTMARK VENDOR FINANCE  
(A DIVISION OF METABANK)  
5480 CORPORATE DRIVE  
SUITE 350  
TROY, MI 48098

CANON FINANCIAL SERVICES  
14904 COLLECTIONS CENTER DRIVE  
CHICAGO, IL 60693-0149

COLORITE POLYMERS  
2870 PAYSHERE CIRCLE  
CHICAGO, IL 60674

CT CORPORATION SYSTEM, AS R  
330 N. BRAND BLVD.  
SUITE 700  
ATTN: SPRS  
GLENDALE, CA 91203

CAROL O'DONNELL KRADOLFER  
115 MOBLEY DR.  
BOISE, MD 83712

COMCAST  
PO BOX 3005  
SOUTHEASTERN, PA 19398

CWAHAF, LLC, LATHROP & GAGL  
PETER BROWN, MANAGER  
10851 MASTIN BLVD., SUITE 1000  
OVERLAND PARK, KS 66210

CENCOR CAPITAL, LLC  
207 GRAND BLVD.  
PARK RIDGE, IL 60068-3133

COMPROLLER OF MARYLAND  
REVENUE ADMIN. DIVISION  
110 CARROLL STREET  
ANNAPOLIS, MD 21411

DAVID HOFFMAN  
495 GRANITE RIDGE DRIVE  
SANTA CRUZ, CA 95065

CHANGE HEALTHCARE SOL. LLC  
PO BOX 572490  
MURRAY, UT 84157-2490

CONCUR TECHNOLOGIES, INC  
62157 COLLECTIONS CENTER DRIVE  
CHICAGO, IL 60693

DAVID MUSKET  
C/O CORPORATE CONSULTING  
85 E. INDIA ROW 2E  
BOSTON, MA 02110

CHIN N. HUNG  
ATTN: NICHOLAS HUNG  
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4000 EXECUTIVE PARKWAY  
SUITE 400  
SAN RAMON, CA 94583

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14027 AUBREY ROAD  
BEVERLY HILLS,, CA 90210

ESSEX CAPITAL CORPORATION  
1486 EAST VALLEY ROAD  
SANTA BARBARA, CA 93108-1241

FOGARTY ENGINEERING, INC.  
3270 ALPINE ROAD  
PORTOLA VALLEY, CA 94028

EAST WEST BANK  
2350 MISSION COLLEGE BLVD.  
SUITE 988  
SANTA CLARA, CA 95054

EUGENE MATSUYAMA  
850 ONAHA STREET  
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FOGARTY HOLDINGS, LLC  
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C/O J2 GLOBAL COMM., INC  
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PO BOX 645209  
PITTSBURGH, PA 15264-5209

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OF THE SURVIVOR'S TRUST UNDER  
FAMILY TRUSTE AGREEMENT DA8/  
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PORTOLA VALLEY, CA 94028

EISNERAMPER LLP  
PO BOX 360635  
PITTSBURGH, PA 15251-6635

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SUITE 240  
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1245 WILSON AVENUE  
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98 SUMMIT DRIVE  
FREEHOLD, NEW JERSEY 07728-0000

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P.O. BOX 78000  
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(PER MARILYN HOHBACH)  
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57 STONECLIFF COVE DRIVE  
DAWSONVILLE, GA 30534

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TRUST  
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PALO ALTO, CA 94301

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BLDG 700  
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ORINDO, CA 94563

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ATTN: JEFFREY G. ARCE  
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BROOKFIELD, WI 53045-5811

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928 OAK RIDGE ROAD  
LOS GATOS, CA 95033

KEVIN GREENWELL

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MERRILL COMMUNICATIONS LLC  
CM-9638  
ST. PAUL, MN 55170-9638

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DBA METRO MEDICAL SUPPLY  
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TRENTON, NJ 08646-0059

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C/O QUIE TRACK INS. SERVICES  
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SANTA ROSA, CA 95402-3308

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C/O BRUCE J. BAUER  
255 SHORELINE DRIVE, #520  
REDWOOD CITY, CA 94065

PARKER HANNIFIN CORPORATION  
7925 COLLECTION CENTER DRIVE  
CHICAGO, IL 60693

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GLOBAL FINANCIAL SERVICES LLC  
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NEW YORK, NY 10087-3574

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FUND 2004, LP  
C/O MARSHALL GREENWALD  
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SARASOTA, FL 34238

THESEUS (MAURITIUS) LIMITED A  
TRUSTEE OF THE LAVA TRUST  
SUITE 510, 5TH FLOOR, BARKLY W  
LE CAUDAN WATERFRONT  
PORT LOUIS, MAURITIUS

SOFTWARE DESIGN PARTNERS, LLC  
536 TRADE CENTER BLVD  
CHESTERFIELD, MO 63005

T. BUD WARASHINA  
2151 PARK BLVD.  
PALO ALTO, CA 94306-1543

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TRUSTEE  
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SUITE B  
MOUNTAIN VIEW, CA 94040

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COLUMBIA, SC 29211-9979

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210 TURNBRIDGE ROAD  
BALTIMORE, MD 21212

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CAROL STREAM, IL 60197

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BANKRUPTCY DIVISION  
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IRA ROLLOVER CC  
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MEDICAL CENTER  
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UPS  
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LAS VEGAS, NV 89134

WS INVESTMENTS 93A  
WILSON, SONSINI, GOODRICH & R  
650 PAGE MILL ROAD  
PALO ALTO, CA 94304-1050

URGENTCARE MENTOR, LLC  
155 WATERFORD CIRCLE  
RANCHO MIRAGE, CA 92270

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REVOCABLE 199  
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#1  
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KRISTEN L. WALLACH, WALTER A. WALLACH  
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SEVERNA PARK, MD 21146

WILLARD PACKAGING COMPANY  
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GAITHERSBURG, MD 20884

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NAPERVILLE, IL 60564

WIRELESS RESEARCH CENTER  
3331 HERITAGE TRADE DR.  
SUITE 101  
WAKE FOREST, NC 27587

**United States Bankruptcy Court  
District of Delaware**

In re NovaSom, Inc.  
Debtor(s)

Case No. \_\_\_\_\_  
Chapter 11

**CORPORATE OWNERSHIP STATEMENT (RULE 7007.1)**

Pursuant to Federal Rule of Bankruptcy Procedure 7007.1 and to enable the Judges to evaluate possible disqualification or recusal, the undersigned counsel for NovaSom, Inc. in the above captioned action, certifies that the following is a (are) corporation(s), other than the debtor or a governmental unit, that directly or indirectly own(s) 10% or more of any class of the corporation's(s') equity interests, or states that there are no entities to report under FRBP 7007.1:

**Quaker Bioventures II, L.P.**  
Circa Centre  
2929 Arch street  
Philadelphia, PA 19104

**Safeguard Delaware, Inc.**  
1105 N. Market Street  
Suite 1300  
Wilmington, DE 19801

**TPG Biotechnology Partner II, L.P.**  
345 California Street  
Suite 3300  
San Francisco, CA 94104

None [Check if applicable]

**August 2, 2019**  
Date

/s/ Peter C. Hughes  
**Peter C. Hughes, Esq.**  
Signature of Attorney or Litigant  
Counsel for **NovaSom, Inc.**  
**DILWORTH PAXSON, LLP**  
One Customs House  
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/s/ Jeffrey Kurtzman  
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**RESOLUTION  
OF  
NOVASOM, INC.**

Upon motion duly made, seconded, and carried, the following resolutions were duly adopted by the vote of the directors present at a properly convened meeting of the board of directors (the “Board”) of NovaSom, Inc. (the “Company”), a Delaware corporation, at which a quorum was present.

**I. Chapter 11 Filing**

**WHEREAS**, it has been proposed that the Company seek to sell its assets by seeking relief under the provisions of Chapter 11 of Title 11 of the United States Code (the “Bankruptcy Code”).

**NOW, THEREFORE, BE IT RESOLVED**, based on factors and information deemed relevant to the Board, in the judgment of the Board, it is desirable and in the best interests of the Company, its creditors and other interested parties that a voluntary petition (the “Petition”) be filed pursuant to Chapter 11 of the Bankruptcy Code on behalf of the Company;

**FURTHER RESOLVED**, that the filing of a Petition on behalf of the Company be, and the same hereby is, approved and adopted in all respects and that any officer of the Company (each, an “Officer” and collectively, the “Officers”), be and each hereby is, authorized and empowered on behalf of the Company, to execute, acknowledge, deliver, and verify the Petition and to cause the same to be filed with the United States Bankruptcy Court for the District of Delaware (the “Bankruptcy Court”) as such time as such Officer may determine;

**FURTHER RESOLVED**, that each of the Officers of the Company be and hereby are authorized to (a) execute and file all petitions, schedules of assets and liabilities, statements of financial affairs, lists and other papers to take any and all related actions that such Officers may deem necessary or proper in connection with such chapter 11 case, (b) execute, acknowledge, deliver and verify any and all documents necessary or proper in connection with the Petition and to commence the Company’s chapter 11 case in such form or forms as such Officer may deem necessary or proper and in order to effectuate the foregoing resolutions, and (c) engage attorneys and other professionals as such Officer deems necessary or proper to accomplish the purposes of the resolutions;

**FURTHER RESOLVED**, that the law firms of Kurtzman | Steady, LLC and Dilworth Paxson LLP be and hereby are authorized, empowered, and directed to represent the Company as a debtor in connection with any chapter 11 case commenced by or against the Company under the Bankruptcy Code, and to (a) execute, acknowledge, deliver and verify the Petition and all other ancillary documents, and to cause the Petition to be filed with the Bankruptcy Court and make or cause to be made, prior to execution thereof, any modifications to the Petition or any ancillary document as an Officer of the Company deems necessary, desirable, or appropriate to carry out the intent and accomplish the purpose of these resolutions, (b) execute, acknowledge,

deliver, verify, and file or cause to be filed all petitions, schedules, statements, lists, motions, applications, and other papers or documents necessary or proper in connection with the foregoing, and (c) execute, acknowledge, deliver, and verify any and all other documents necessary or proper in connection therewith and to administer the Company's chapter 11 case as required by the applicable provisions of the Bankruptcy Code; and

**FURTHER RESOLVED**, that all acts lawfully done or actions lawfully taken prior to the date hereof by any Officer of the Company or any professionals engaged by the Company with respect to any transactions contemplated by the foregoing resolutions, or otherwise in preparation for or in connection with the Company's chapter 11 case or any proceedings related thereto, or any matter related thereto, be and hereby are, adopted, approved, ratified and confirmed in all respects as the acts and deeds of the Company as if such acts and deeds took place after the date of these resolutions.